



WEBINAR ON HIGH TEMPERATURE HEAT PUMPS

7 NOVEMBER 2024

Guidelines for
HTHP Integration
Cordin Arpagaus, OST

Guidelines for the implementation of HTHPs in industrial processes

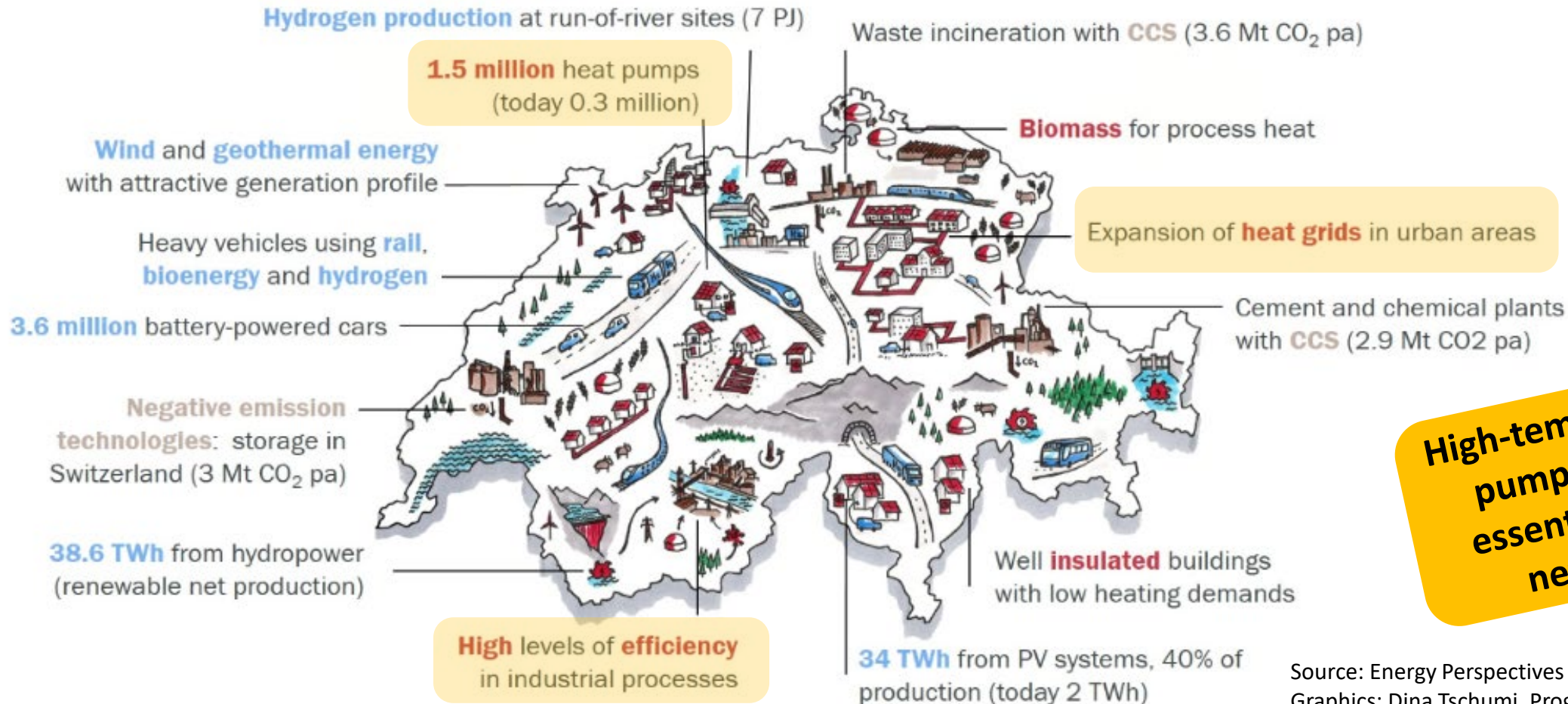


AI-created picture about “guidelines for the implementation of heat pumps in industrial processes” (Adobe Firefly, 21 July 2024)

- Swiss market context
- Current HTHP technologies and demonstration cases
- Possible integration concepts
- Applying Pinch Analysis
- Preliminary assessment checklist
- Technical, financial, and socio-economic aspects

Swiss Market Context

Objectives for a climate-neutral Switzerland by 2050

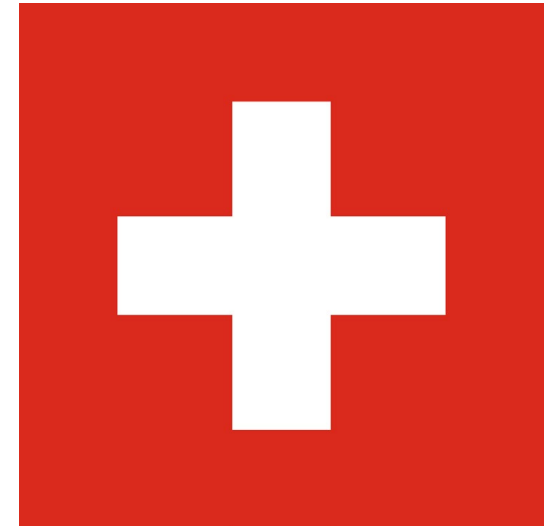


High-temperature heat pumps (HTHP) are essential to achieve net-zero goals

Source: Energy Perspectives 2050+ (EP 2050+)
Graphics: Dina Tschumi, Prognos AG

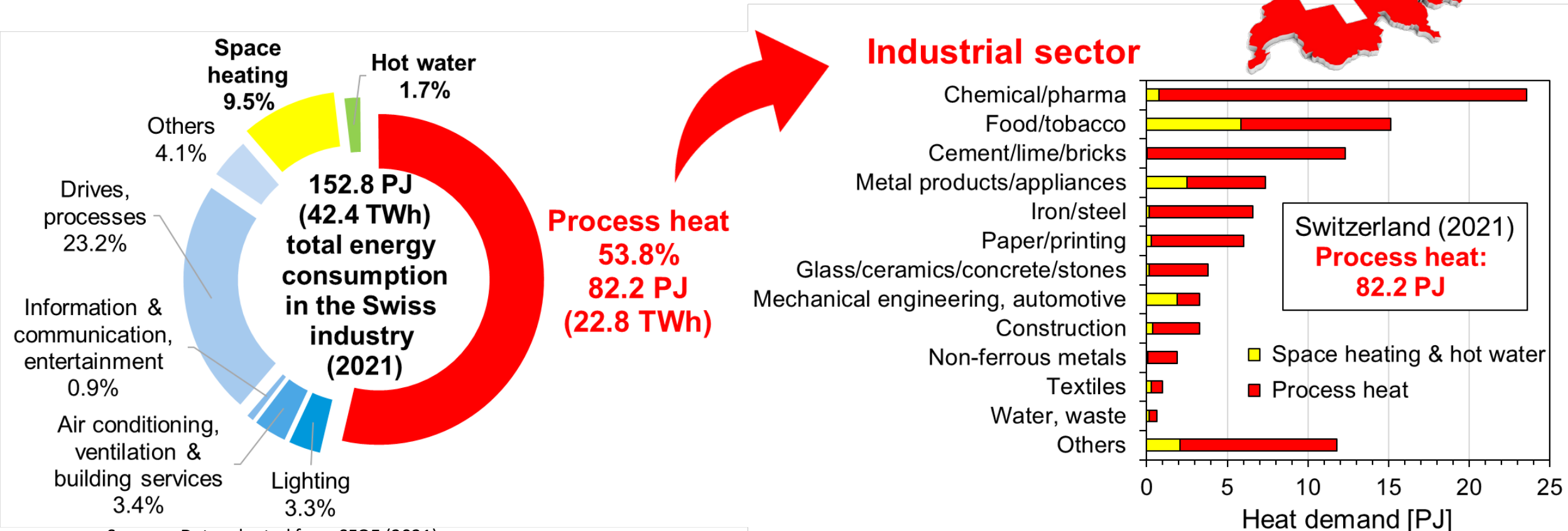
Characteristics of Industrial Landscape in Switzerland

- **Proximity of industries to residential areas:** industries are often located closer to residential areas, impacts on regulations, environmental impacts, safety and comfort
- **Specific industry mix:** food and pharmaceutical/biotech sites with specific thermal energy needs
- **Multi-layered regulatory framework:** complex, municipality, canton, and national levels
- **Subsidy landscape:** supports adopting renewable energy technologies, including heat pumps, more energy-efficient and environmentally friendly
- **CO₂ taxes on all fossil thermal fuels** (e.g., fuel oil, natural gas): incentivize carbon emissions reductions, CO₂ levy is at CHF 120 per ton of CO₂



Swiss Market Context

Process heat demand (> 80 °C) in the Swiss industry (2021) representing 54% of all energy needs and showing the potential for HP and HTHP integration in the industrial sector



Sources: Data adapted from SFOE (2021)

Swiss Market Context

Potential energy savings through industrial HTHPs in Switzerland (top-down estimate)

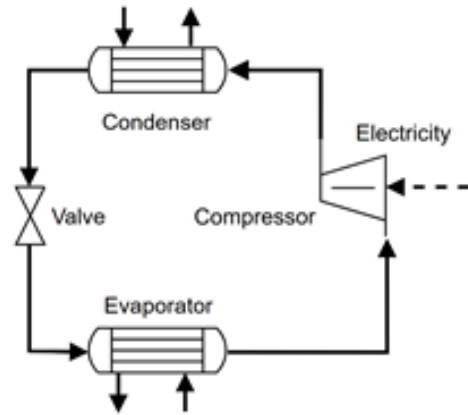


	Energy consumption	Data source / Estimations
Swiss industry	42'601 GWh	152.8 PJ as of 2021
Process heat demand	22'919 GWh	53.8% (>80 °C)
Process heat and steam demand below 150 °C	6'876 GWh	30% (estimate based on Heat Roadmap Europe)
Energy savings potential through the use of HTHPs (= addressable process heat share)	2'750 GWh (6.5% of the process heat demand)	40% (moderate estimate of conversion rate to HPs and HTHPs based on technical analysis within SCCER EIP)

Sources: Data adapted from SFOE (2021)

Types of HTHP Technologies

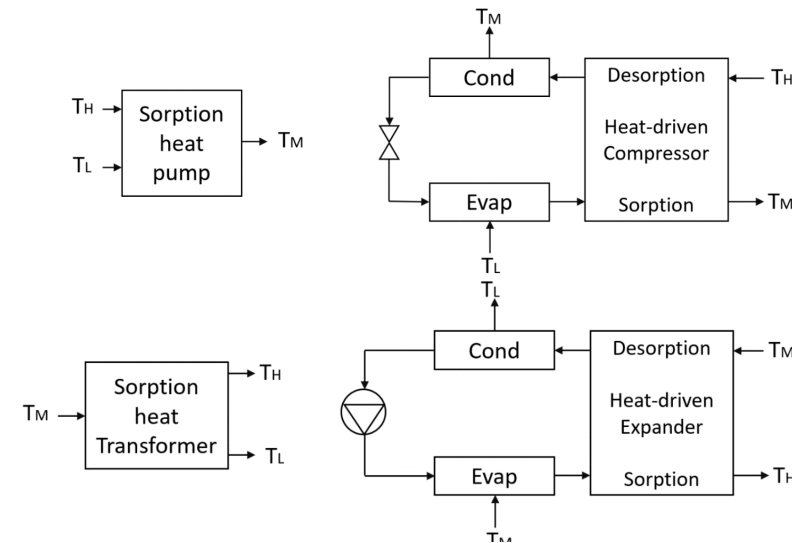
Electrically-driven HTHPs



T _{cond} in °C	180	1.4	1.5	1.6	1.7	1.9	2.1
	170	1.5	1.6	1.7	1.8	2.0	2.2
	160	1.5	1.7	1.8	2.0	2.2	2.4
	150	1.6	1.8	1.9	2.1	2.4	2.6
	140	1.7	1.9	2.1	2.3	2.6	3.0
	130	1.8	2.0	2.2	2.5	2.9	3.4
	120	2.0	2.2	2.5	2.8	3.3	3.9
	110	2.1	2.4	2.7	3.2	3.8	4.8
	100	2.3	2.7	3.1	3.7	4.7	6.2
	90	2.6	3.0	3.6	4.5	6.1	9.1
		20	30	40	50	60	70
		T _{evap} in °C					
		η _{Lorenz} = 50%					

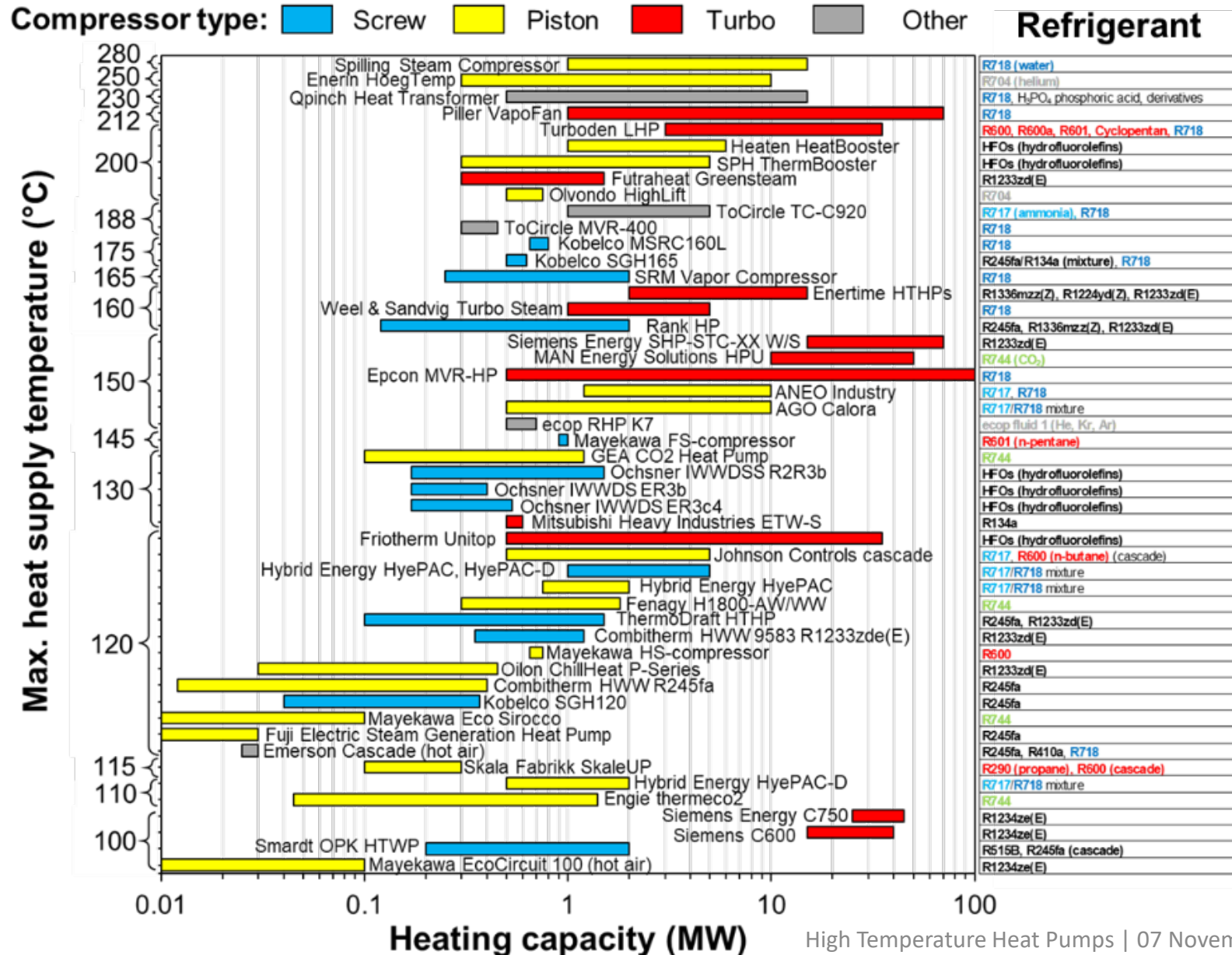
- Single-stage cycle
- Economizer cycle
- Twin or multi cycles
- Cascade cycle
- Condenser outlet split ejector cycle
- Transcritical cycle
- Joule cycle (or reverse Brayton cycle)
- Stirling cycle
- Mechanical vapor recompression (MVR)
- Steam compression systems

Thermally-driven HTHPs



Technology	COP definition	Maximum COP	Typical COPs
Sorption heat pump	$COP = \frac{\dot{Q}_{process}}{\dot{Q}_{high}}$	$COP = \left(1 - \frac{T_L}{T_H}\right) / \left(\frac{T_M}{T_M - T_L}\right)$	1.3 to 2.2
Sorption heat transformer	$COP = \frac{\dot{Q}_{process}}{\dot{Q}_{waste}}$	$COP = \left(1 - \frac{T_L}{T_M}\right) / \left(\frac{T_H}{T_H - T_L}\right)$	0.2 to 0.5
Vapor compression heat pump	$COP = \frac{\dot{Q}_{process}}{W_{el}}$	$COP = \frac{T_H}{T_H - T_L}$	2 to 5

Market Overview of HTHPs



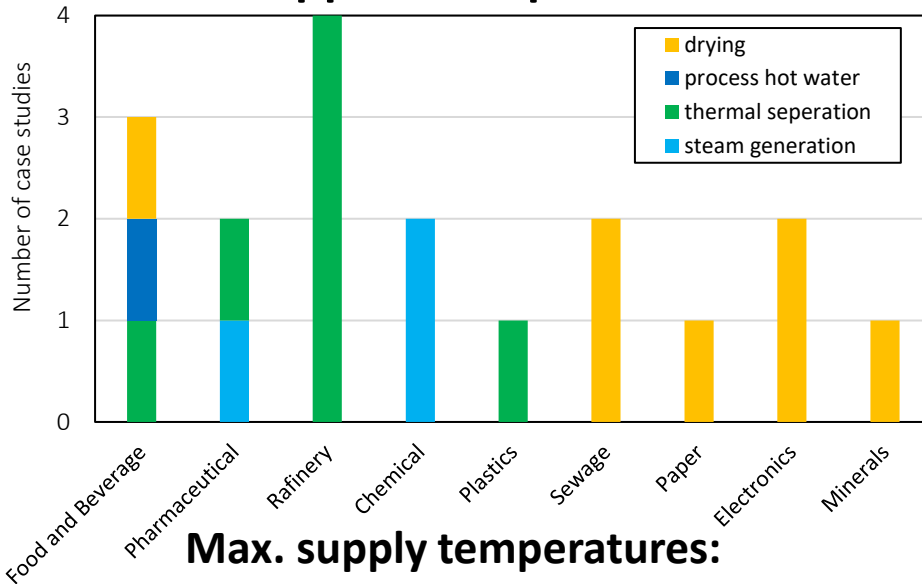
Refrigerant

R718 (water)
R717 (NH ₃ , ammonia)
R744 (CO ₂)
R704 (helium)
R290 (propane)
R600 (n-butane)
R600a (iso-butane)
R601 (n-pentane)
HFC (R245fa, R134a, R410a)
HFO (R515B, R1234ze(E), R1224yd(Z), R1233zd(E), R1336mzz(Z))

Based on data from IEA HPT Annex 58 (2023)
<https://heatpumpingtechnologies.org/annex58/task1>
 and Arpagaus et al. (2022): China Heat Pump
 Conference ([YouTube Video Recording](#))

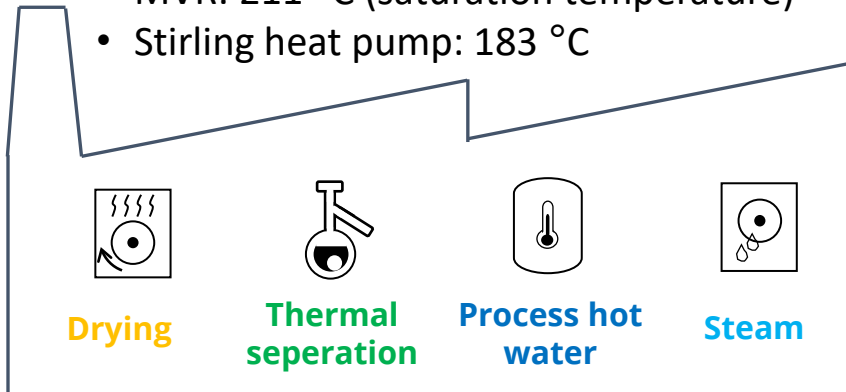
Overview of Demonstration Cases

Case Studies by industries and application processes



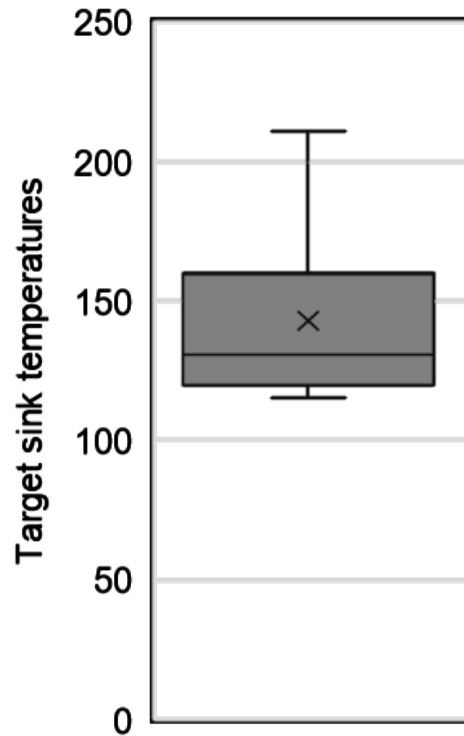
Max. supply temperatures:

- Conventional closed-cycle (CCHP): 138 °C
- MVR: 211 °C (saturation temperature)
- Stirling heat pump: 183 °C

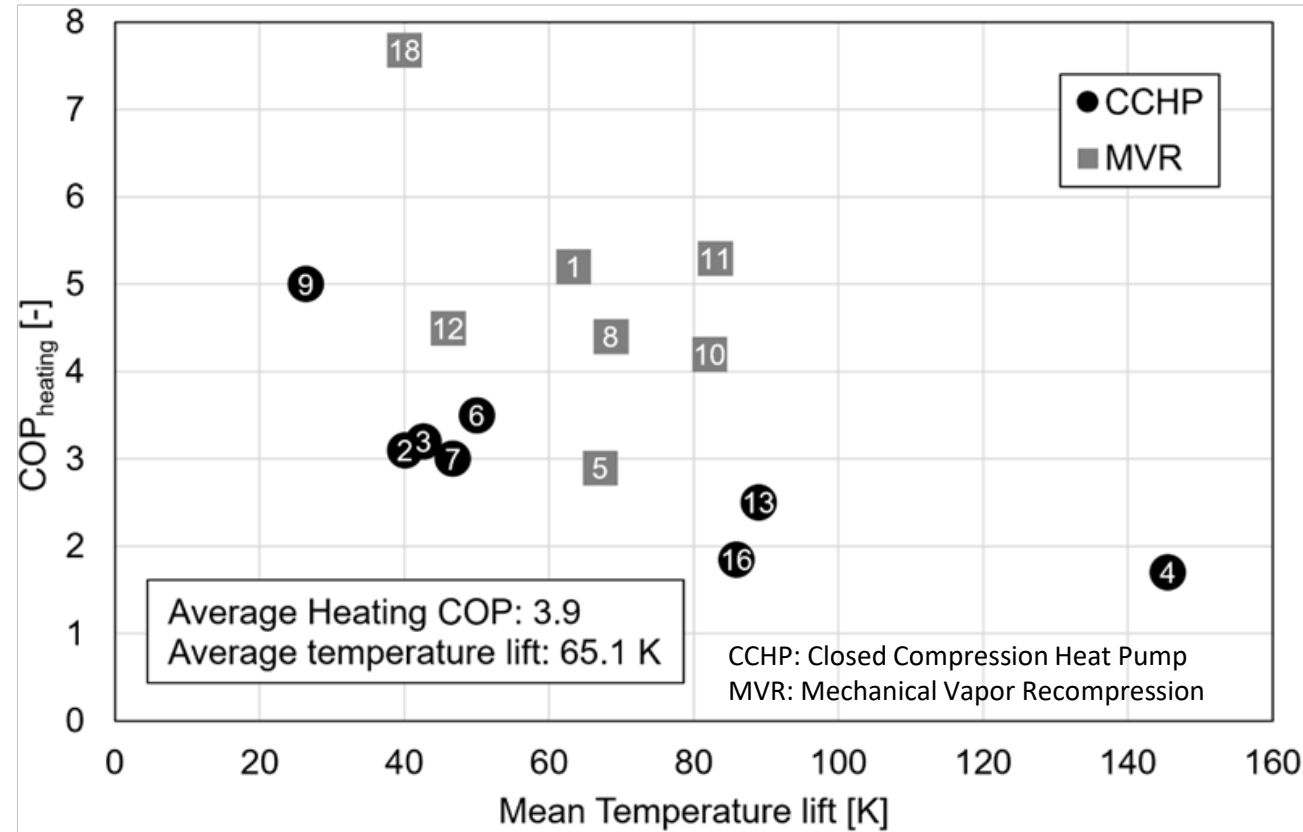


No.	Supplier	Industry	Process	Heat source			Heat sink			HP Type	Refrigerant	Compressor	Capacity [kW]	COP _{HP}	Op. hours [h/a]	Ref.
				Unit Operation	T _{out} [°C]	T _{in} [°C]	Unit Operation	T _{out} [°C]	T _{in} [°C]							
1	n. a.	beverage	alcoholic distillation	product cooling	75	78.3	distillation	140	n. a.	MVR	n. a.	n. a.	350	5.2	n. a.	[1]
2	Mayekawa	electronic	coil drying	electro-painting cooling	25	30	drying	120	20	CCHP	R744	piston	89	3.1	n. a.	[1]
3	AMT/AIT	food	starch drying	waste heat	72	76	drying	138	96	CCHP	R-1336mzz(Z)	screw	374	3.2	4,000	[2]
4	Olvondo	pharmaceutical	recooling	recooling heat	34	36	steam generation	183	178	Stirling HP	R704	piston	2,250	1.7	6,100	[2]
5	Kobelco	sewage	sludge drying	exhaust drying air	93	93	steam generation	160	160	MVR	R718	twin-screw, roots blower	675	2.9	n. a.	[2]
6	Kobelco	refinery	bioethanol distillation	process cooling	60	65	distillation	115	110	CCHP + Flash Tank	R245fa	twin-screw	1,850	3.5	n. a.	[2]
7	MHI	electronic	coil drying	waste heat	50	55	drying	130	70	CCHP	R134a	centrifugal	627	3.0	n. a.	[2]
8	Piller	plastics	thermal separation	exhaust vapour	60	60	steam generation	131	126	MVR	R718	turbo (8 blowers)	10,000	4.4	8,000	[2]
9	AMT/AIT	minerals	brick drying	exhaust drying air	80	84	drying	121	96	CCHP	R-1336mzz(Z)	piston (8 compr.)	296	5	4,000	[2]
10	Spilling	pulp and paper	pulp drying	exhaust vapour	105	133	steam generation	201	n. a.	MVR	R718	piston (4 LT-, 2 HT-cylinders)	11,200	4.2	7,500	[2]
11	Spilling	chemical	chemical	exhaust vapour	105	152	steam generation	211	n. a.	MVR	R718	piston (4 LT-, 2 HT-cylinders)	12,000	5.3	7,500	[2]
12	Rotrex, Epcor	sewage	sludge drying	surplus steam	100	n. a.	steam generation	146	n. a.	MVR	R718	turbo (2 stages)	500	4.5	n. a.	[2]
13	SkaleUP	dairy	process hot water	(re)cooling	12, 0	20, 5	process hot water	115	95	CCHP	LT-C: R290, HT-C: R600	piston	300	2.5, 2.3	6,500	[2]
14	QPinch	chemical	steam production	exhaust vapour	120 - 145		steam generation	140 - 185		heat transformer	H ₂ PO ₄	heat-driven	2,900	0.45	2,500	[2]
15	Huayuan Taimeng	refinery	ethyl-benzene	waste heat	95	120	steam generation	152	n. a.	heat transformer	LiBr-H ₂ O	heat-driven	7,553	0.48	n. a.	[2]
16	Shanghai Nuotong	beverage	alcoholic distillation	air	n. a.	18.9	steam generation	120	90	CCHP + Flash Tank + MVR	LT-C: R410a, HT-C: R245fa	screw	180	1.85	n. a.	[2]
17	Huayuan Taimeng	refinery	alkyl-benzene	waste heat	86	127	steam generation	150	n. a.	heat transformer	LiBr-H ₂ O	heat-driven	5,100	0.48	n. a.	[2]
18	Shandong Zhangqiu Blower	refinery	ethanol distillation	exhaust vapour	76	n. a.	steam generation	116	n. a.	MVR	R718	centrifugal	n. a.	7.68	7,000	[2]

Indicative COP values



- Target sink temperatures between 115 °C and 240 °C



$$\Delta T_{\text{mean}} = \frac{\Delta T_{\text{sink}}}{\ln\left(\frac{T_{\text{sink,out}}}{T_{\text{sink,in}}}\right)} - \frac{\Delta T_{\text{source}}}{\ln\left(\frac{T_{\text{source,in}}}{T_{\text{source,out}}}\right)}$$

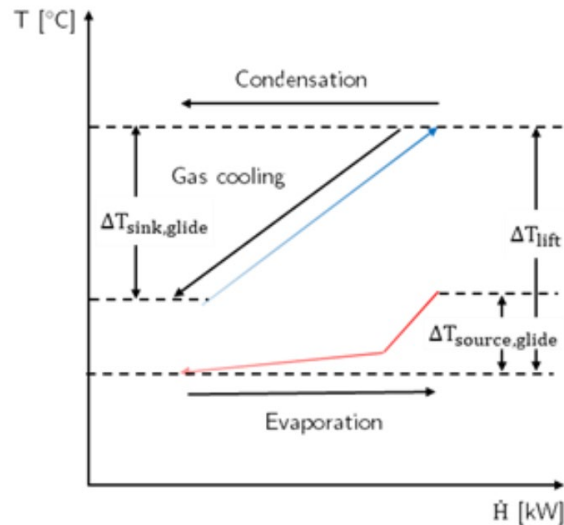
1	n. a.	distillation	78.3	140	MVR
2	Mayekawa	drying	30	120	CCHP
3	AMT/AIT	drying	76	138	CCHP
4	Olvondo	steam generation	36	183	Stirling HP
5	Kobelco	steam generation	93	160	MVR
6	Kobelco	distillation	65	115	CCHP + Flash Tank
7	MHI	drying	55	130	CCHP
8	Piller	steam generation	60	131	MVR
9	AMT/AIT	drying	84	121	CCHP
10	Spilling	steam generation	133	201	MVR
11	Spilling	steam generation	152	211	MVR
12	Rotrex, Epcon	steam generation	100	146	MVR
13	SkaleUP	process hot water	20,5	115	CCHP
16	Shanghai Nuotong	steam generation	18,9	120	CCHP + Flash Tank + MVR
18	Shandong Zhangqiu Blower	steam generation	76	116	MVR

Source: IEA HPT Annex 58 (2023)

Selection of HTHP Technology

Three main application types

- large temperature glide



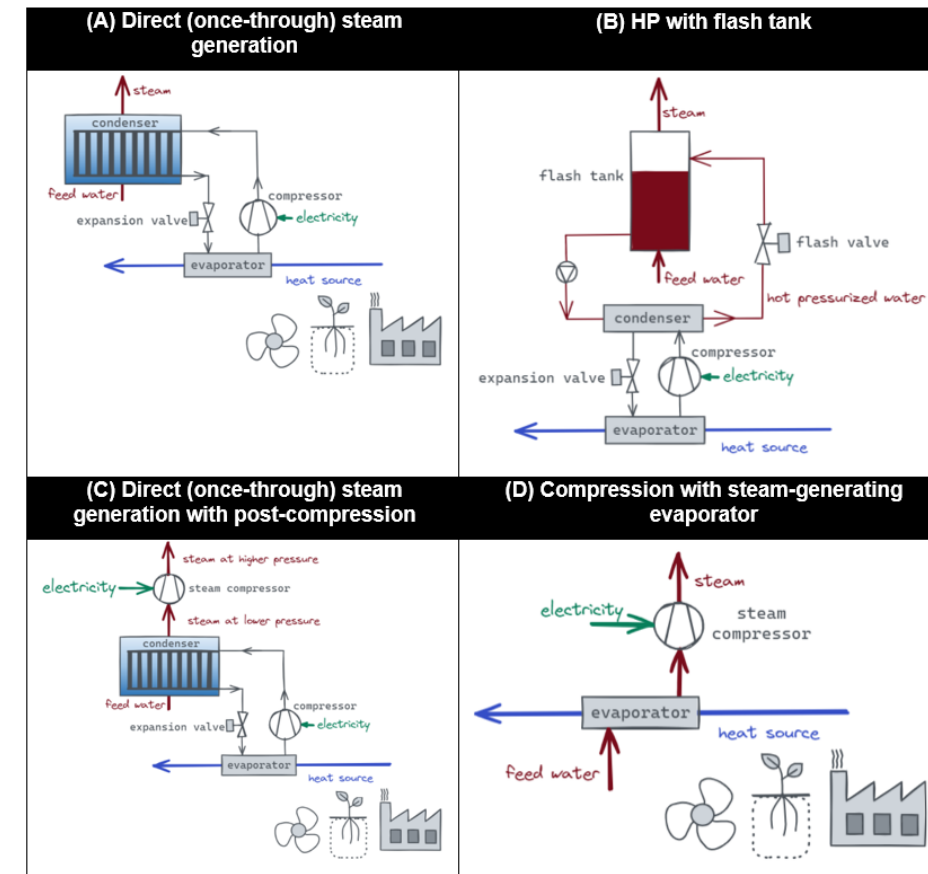
- hot water

- (A) Single-stage, multi-stage, and cascade HP cycle (using HC or HFO)
- (B) Transcritical single-stage cycle (using CO₂)
- (C) Hybrid absorption compression HP (using ammonia/water)

- steam generation

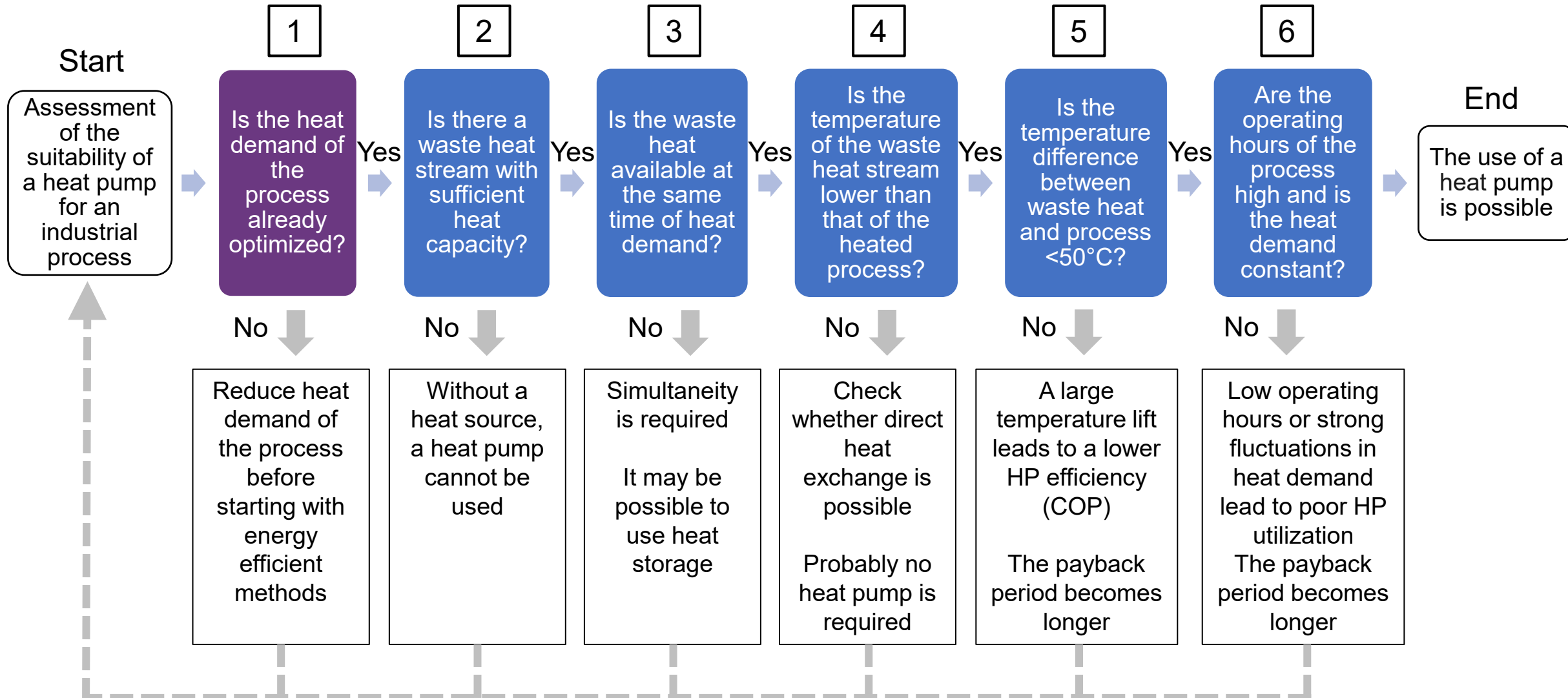
e.g. drying processes

- Single-stage or cascaded transcritical cycle (CO₂, HC or HFO)
- Stirling cycle
- Reversed Brayton cycle



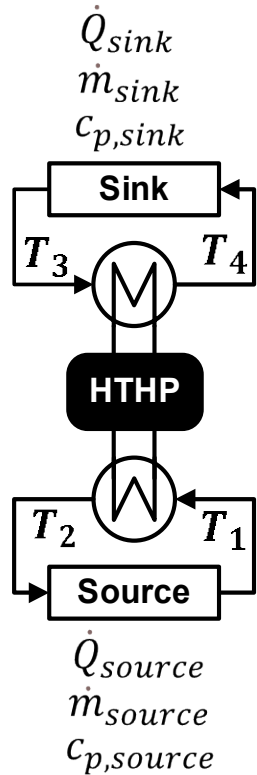
Source: IEA HPT Annex 58 Task 1 and Task 2 reports and final webinar

Preliminary Feasibility Checklist



Feedback loop

Inputs for preliminary assessment



Technical parameters	Unit	Heat source		Heat sink	
Medium (water, steam, air, oil, waste, etc.)					
Flow temperature	°C/bar(g)	T_1		T_3	
Return temperature	°C	T_2		T_4	
Heat source/sink capacity (cooling/heating demand)	kW	\dot{Q}_{source}		\dot{Q}_{sink}	
Mass flow	kg/s	\dot{m}_{source}		\dot{m}_{sink}	
Heat capacity	kJ/kg K	$c_{p,source}$		$c_{p,sink}$	
Operating hours (time availability)	h/year				
Economic parameters					
Electricity costs	CHF/kWh				
Costs of natural gas or oil	CHF/kWh				
Heat price at sink temperature (e.g., gas price for burner)	CHF/kWh				
Heat price at source temperature (mostly free waste heat)	CHF/kWh				

Additional inputs:

Application	Specification	Examples or unit
Type of industry		Diary / Pharma / Chemical
Type of application		Drying / Humidification / UHT
Current source for applied heat		Electric, gas, or oil burner
Heat pump location on site		Existing space / New build
Distance to heat source/sink		Meters
Electrical voltage level		400/600 V
Electrical power consumption		kW (see specifications of HPs)
Internet access (fixed IP)		Yes/No
Other information		

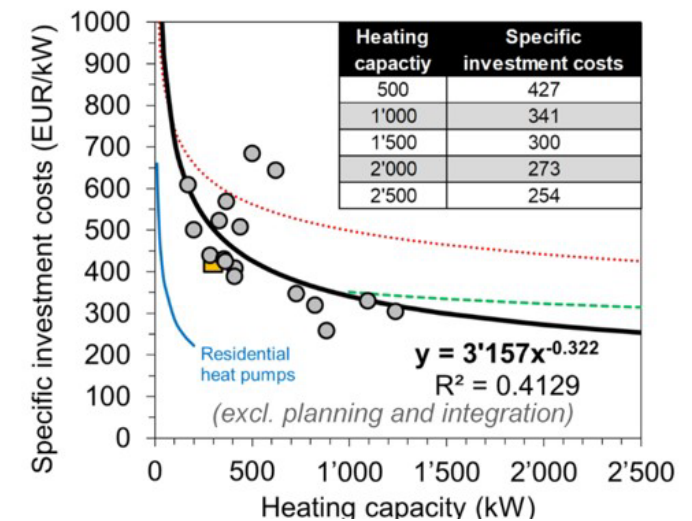
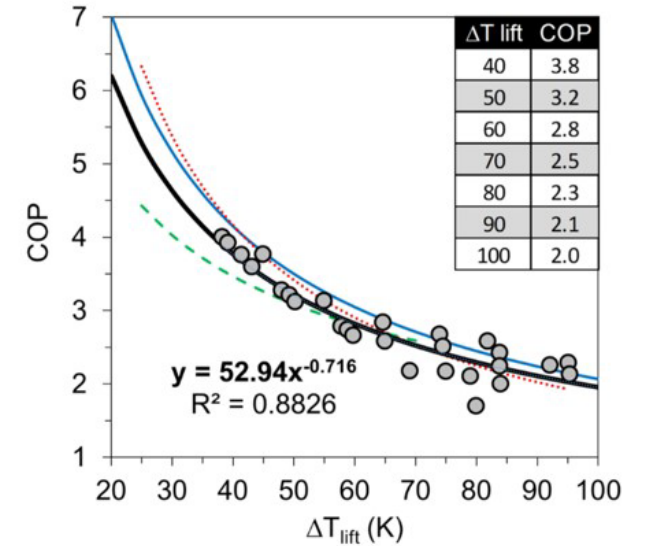
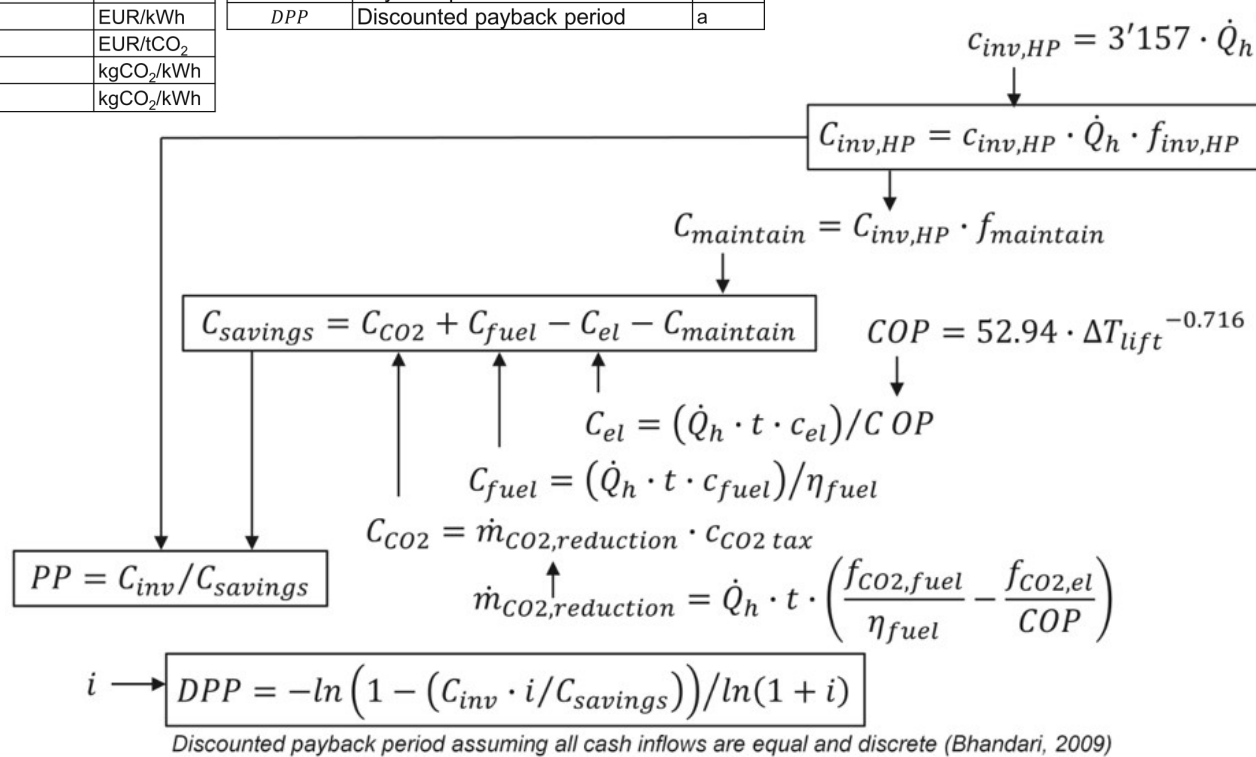
Economic evaluation – cost model

Inputs

\dot{Q}_h	Heating capacity	kW
ΔT_{lift}	Temperature lift	K
$c_{inv,HP}$	Specific investment costs of HP	EUR/kW
$f_{inv,HP}$	Cost factor for planning & HP integration	-
t	Annual operating time	h/a
$f_{maintain}$	Maintenance factor (on capital costs)	-
η_{fuel}	Efficiency of gas boiler	-
i	Interest rate (discount rate)	-
c_{fuel}	Fuel price (gas, oil)	EUR/kWh
c_{el}	Electricity price	EUR/kWh
$c_{CO2\ tax}$	CO ₂ tax	EUR/tCO ₂
$f_{CO2,el}$	CO ₂ emissions factor electricity	kgCO ₂ /kWh
$f_{CO2,fuel}$	CO ₂ emissions factor fuel	kgCO ₂ /kWh

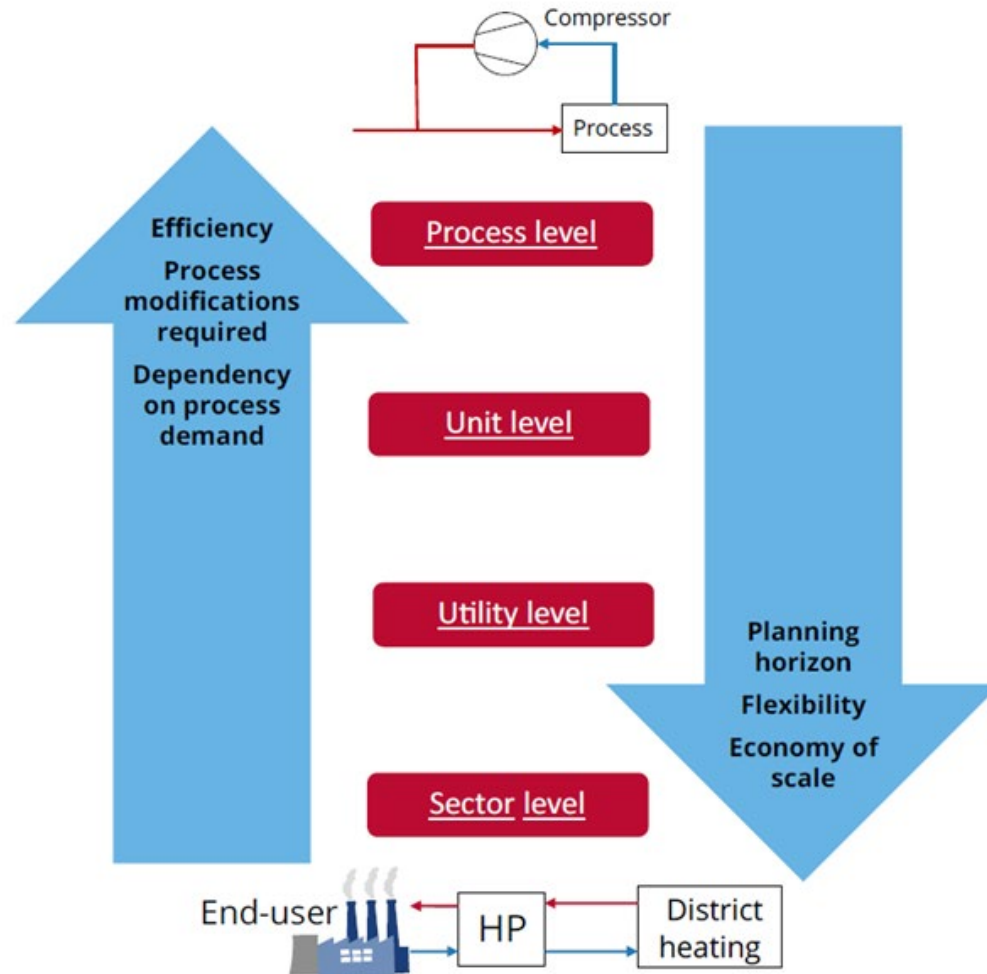
Outputs

$c_{inv,HP}$	Investment costs of HP	EUR
$\dot{m}_{CO2,red}$	Annual CO ₂ emissions reduction	tCO ₂ /a
$E_{savings}$	Annual energy savings	kWh/a
C_{fuel}	Annual fuel cost savings	EUR/a
C_{el}	Annual electricity costs	EUR/a
$C_{maintain}$	Annual HP maintenance costs	EUR/a
C_{CO2}	Annual CO ₂ tax compensation	EUR/a
$C_{savings}$	Annual cost savings	EUR/a
PP	Payback period	a
DPP	Discounted payback period	a



Possible Integration Levels

Different integration levels for heat pumps and their effects



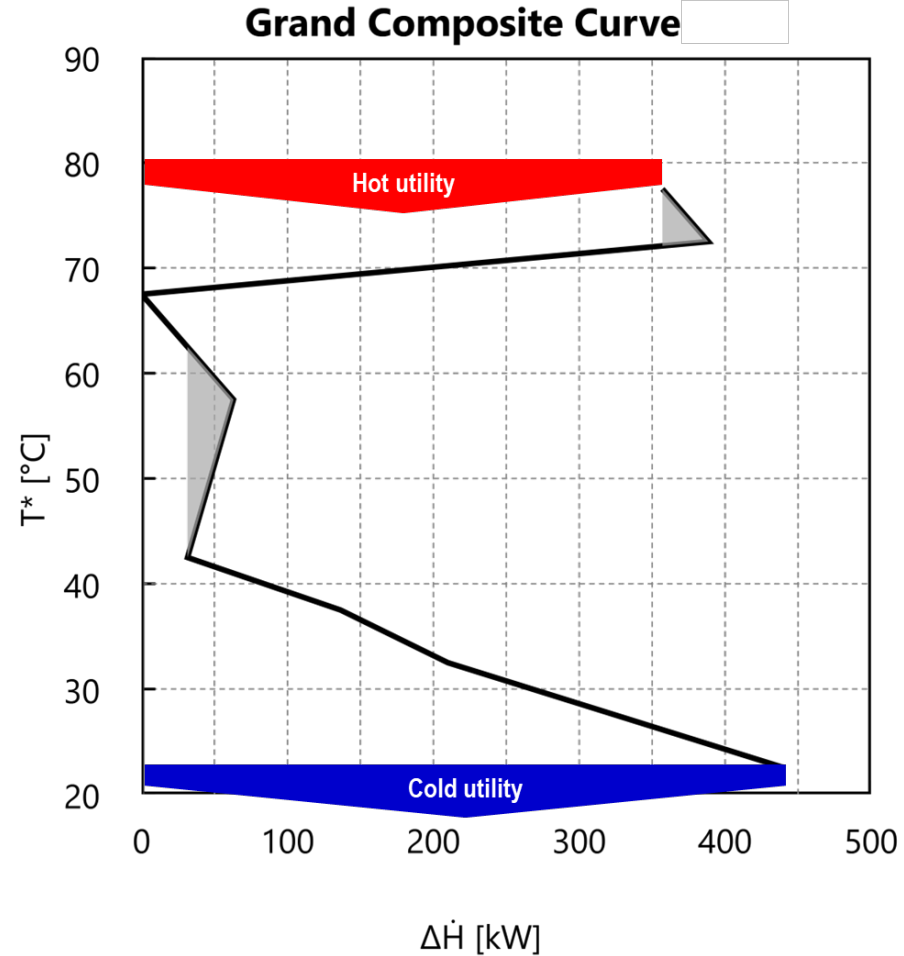
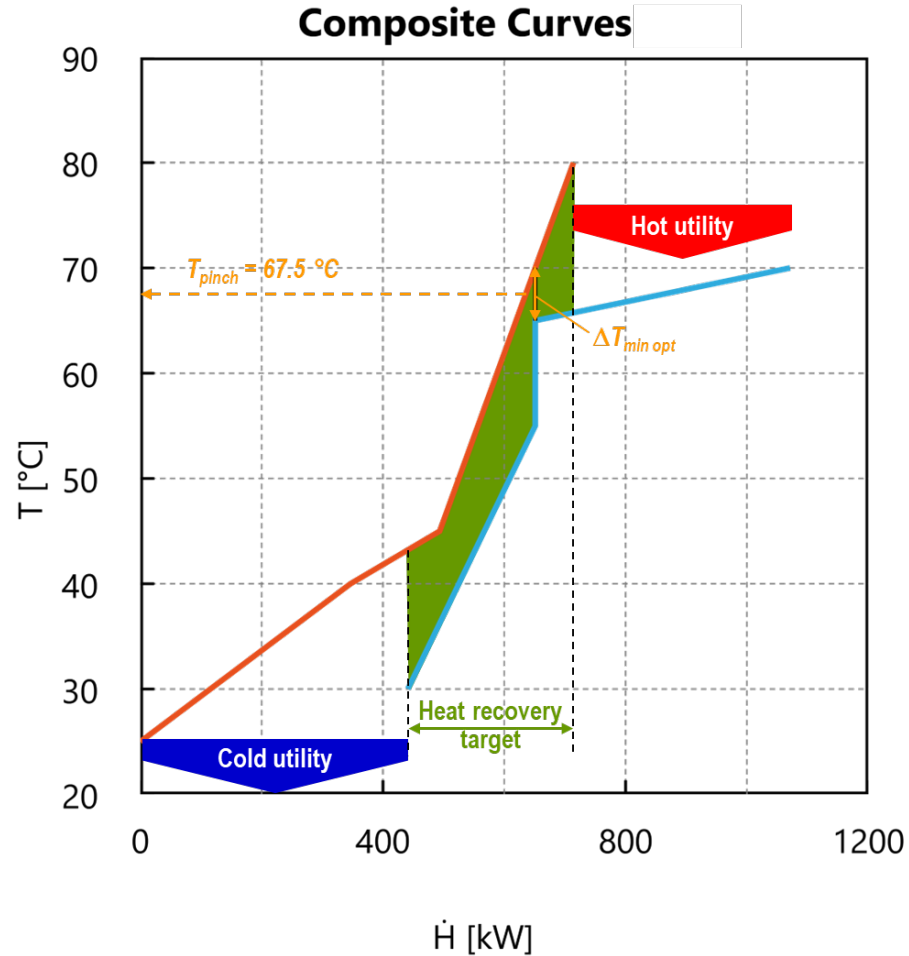
- **Process stream level:** heat of an exhaust stream (e.g., vapor from an evaporation process) is upgraded and fed back as heating steam (typically with MVR)
- **Unit (or process) level:** heat of one or several hot streams or waste heat sources of a process unit supplies the HP
- **Utility level (site level):** Waste heat is upgraded and distributed via the existing utility network
- **Sector level (district, area level):** heat source(s) and sink(s) do not belong to industrial site

Composite Curves (hot and cold)

Example process of Pinch

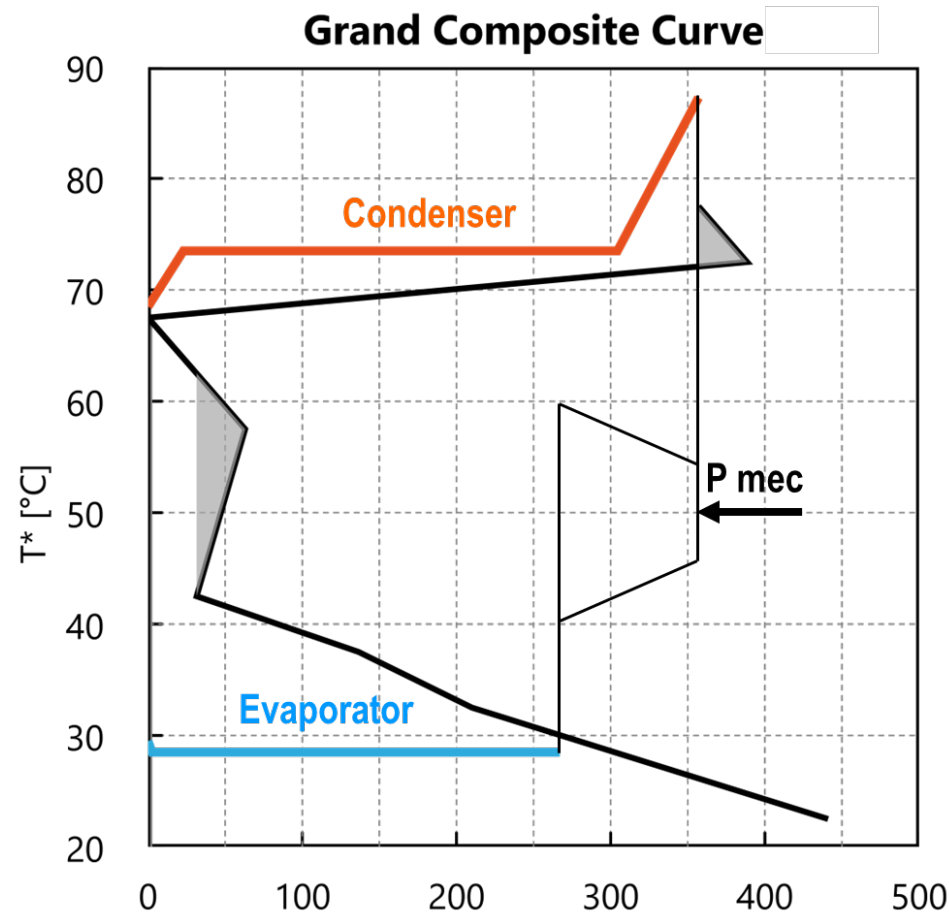
Example Process

Stream (heat transfer requirement)	Type	T in [°C]	T out [°C]	\dot{m} [kg/s]	c_p [kJ/(kg K)]	$\Delta\dot{H}$ [kW]
WasteWaterCooling	Hot (heat source)	45.0	25.0	5.50	4.20	462
ProcessWaterHeating	Cold (heat sink)	30.0	55.0	2.00	4.20	210
AirCompressorCooling	Hot (heat source)	80.0	40.0	1.50	4.20	252
TemperatureHolding	Cold (heat sink)	65.0	70.0	20.00	4.20	420



Composite Curves (hot and cold)

Optimal integration of a vapor compression HP by interpreting the GCC

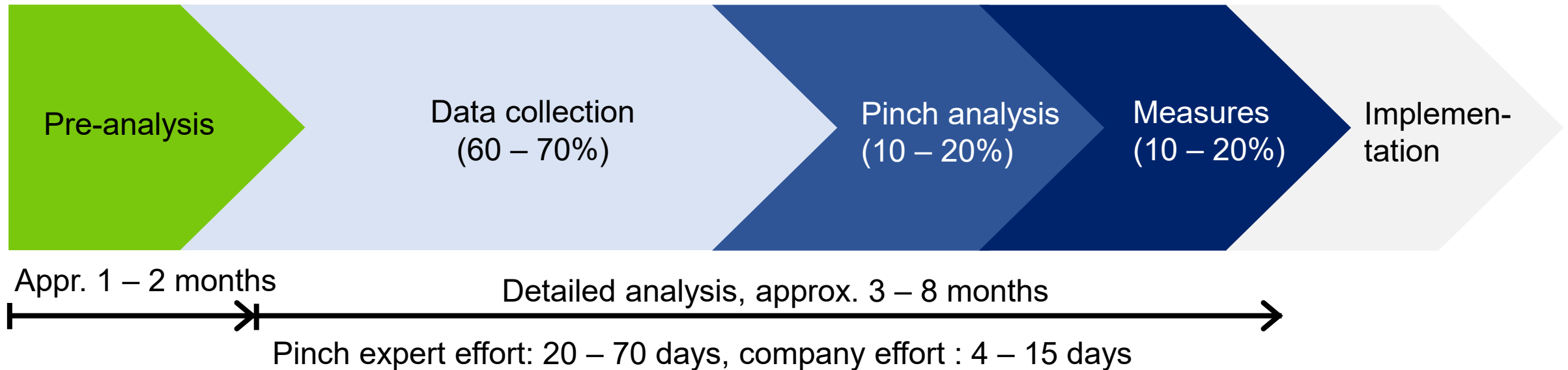


Golden rules:

- (1) Do not cool hot streams above the pinch temperature using cold utility
- (2) Do not heat cold streams below the pinch temperature with hot utility
- (3) Avoid heat transfer across the pinch point from hot to cold streams

Applying Pinch Analysis

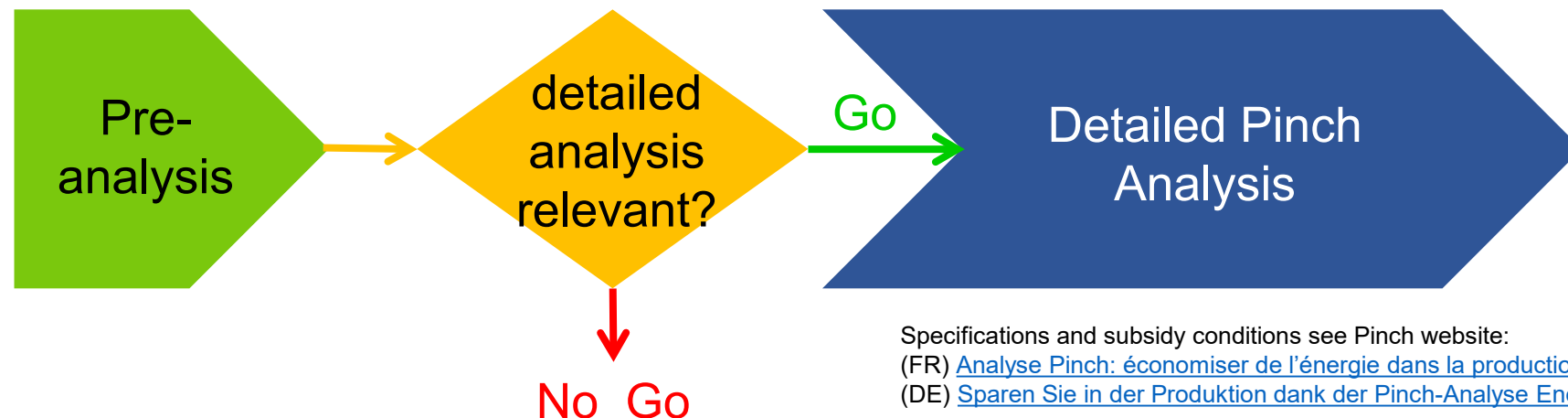
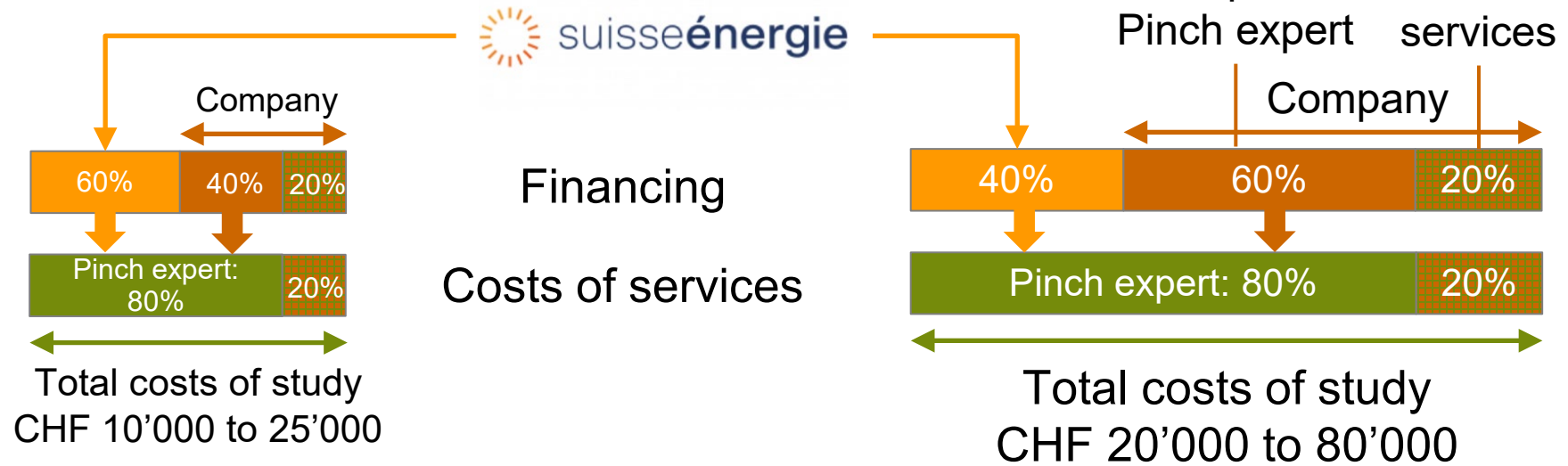
Main steps of a Pinch analysis and typical effort and duration



Applying Pinch Analysis

Typical costs and SFOE subsidies for the two-phase approach

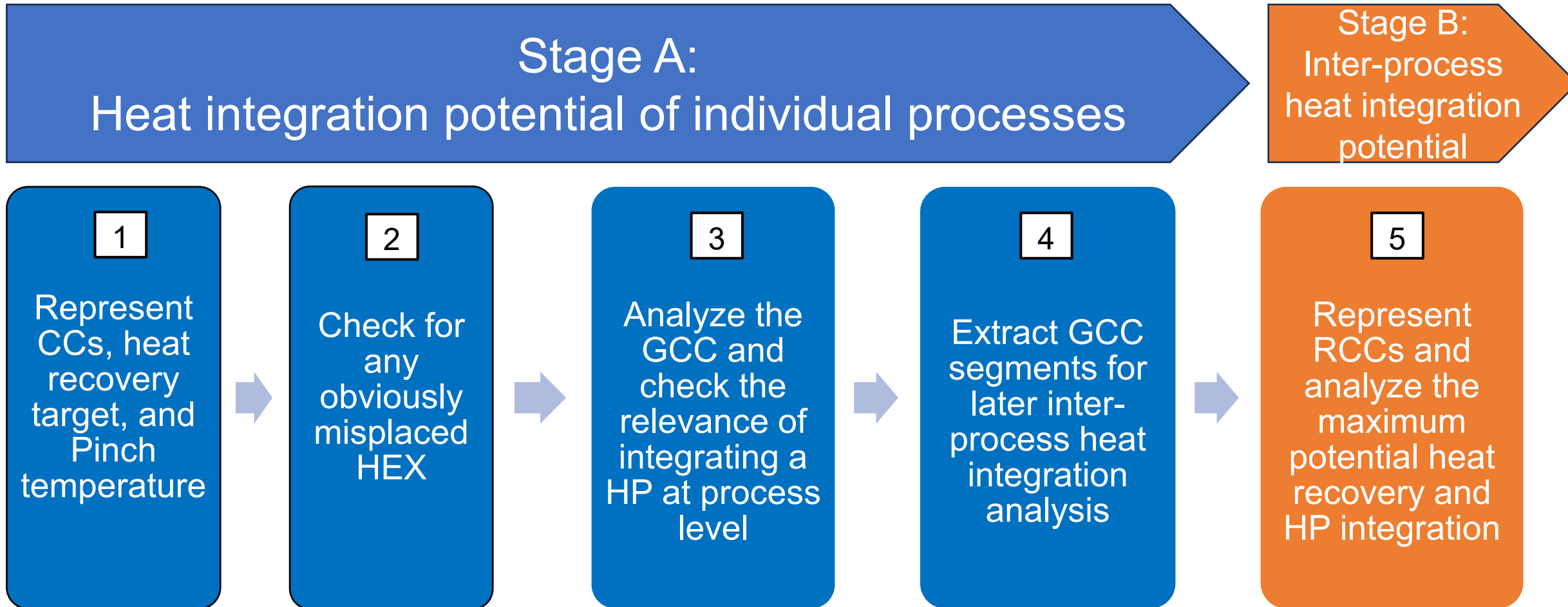
(conditions as of 1 September, 2024)



Specifications and subsidy conditions see Pinch website:
 (FR) [Analyse Pinch: économiser de l'énergie dans la production \(suisseenergie.ch\)](https://www.suisseenergie.ch/analyse-pinch)
 (DE) [Sparen Sie in der Produktion dank der Pinch-Analyse Energie](https://www.suisseenergie.ch/sparen-sie-in-der-produktion-dank-der-pinch-analyse-energie)

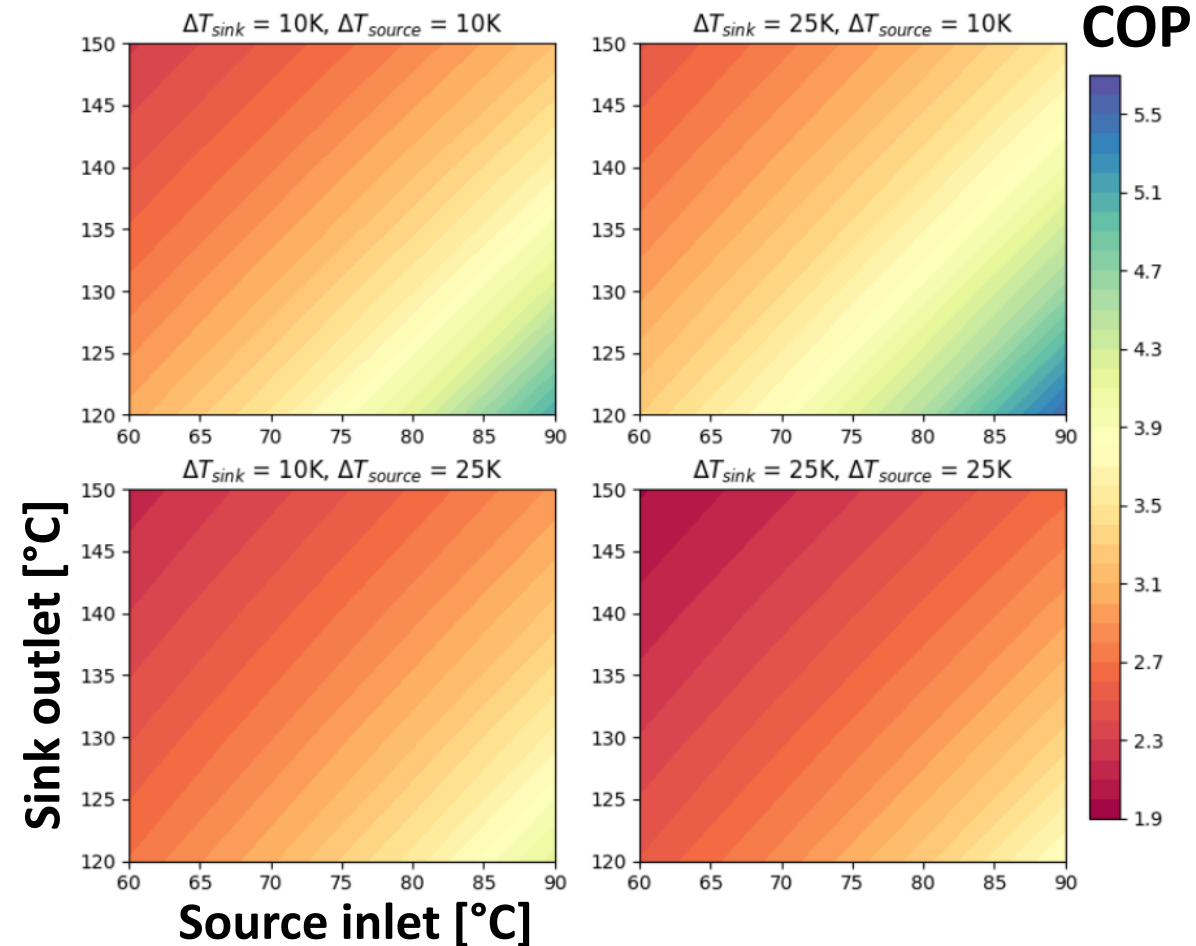
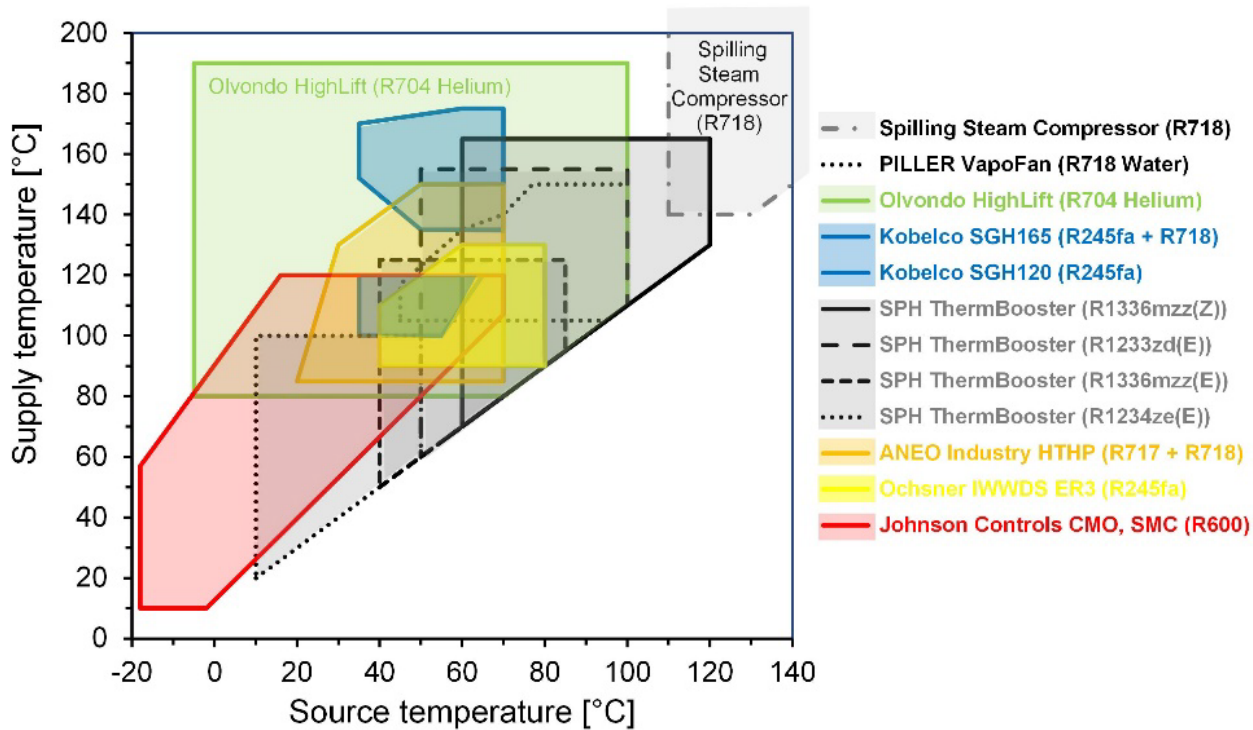
Applying Pinch Analysis

Workflow for targeting and optimizing an industrial site's heat recovery and upgrading via HP(s), including several processes



Technical Aspects

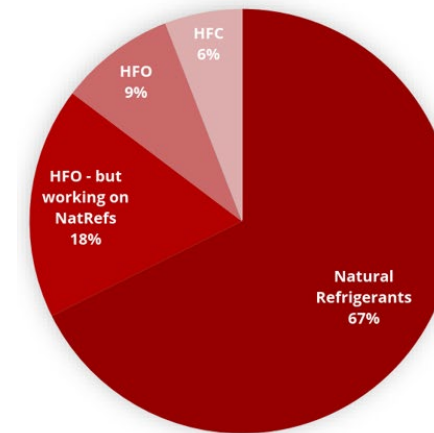
Heat Pump Operation and Performance



Technical Aspects

- **Infrastructure Requirements:** location for the installations, power supply, distribution network and thermal storage, automation system
- **Safety Aspects:** refrigerant, redundancy strategy, relevant standards:
 - **Chemical Risk Reduction Ordinance (ORRChem)**
 - Protection against **major accidents** (Major Accidents Ordinance, MAO)
 - Ordinance on **Air Pollution Control (OAPC)**
 - Directives on potentially **explosive atmospheres (ATEX)**
 - **Pressure Equipment Directive (PED)** or
 - Swiss National **Accident Insurance Fund (SUVA)**
- **Maintenance**
- **Technology Lock-in**

Refrigerants used in current HTHPs



Source: IEA HPT Annex 58

Frequently used natural refrigerants:

- CO₂ (R744)
- Steam (R718)
- Ammonia/Water (R717/R718)
- Hydrocarbons (R600, R600a, R601, R601a)

Frequently used HFOs:

- R-1233zd(E)
- R-1234ze(E)
- R1336mzz(Z)

→ For every HFO HTHP, there is a NatRef alternative

Financial Aspects

Funding programs for industrial heat pumps in Switzerland

Funding program	Pinch Analyses	Heat Pumps for Process Heat	Klimaprämie (Climate bonus)	Pilot and Demonstration
Program Manager	EnergieSchweiz (SuisseEnergie)	EnergieSchweiz	EnergieZukunft Schweiz	SFOE (Swiss Federal Office of Energy)
Financing	SFOE	SFOE	KliK Foundation	SFOE
Amount	<ul style="list-style-type: none"> Pre-analyses: max. 60% of total costs Pinch analyses: Max. 40% of total costs 	<ul style="list-style-type: none"> Max. 40% of additional costs compared to conventional technology (e.g., oil or gas boiler) 	<ul style="list-style-type: none"> 0.18 CHF/kWh heat About 360 CHF/kW heat at 2'000 h annual operation 	<ul style="list-style-type: none"> Up to 40% (60%) of non-amortizable supplementary costs
Criteria	<ul style="list-style-type: none"> Using PinCH Software Trained experts Publication of findings (summary, final report) 	<ul style="list-style-type: none"> Industrial process heat Payback >4 years Funding request before construction starts Companies with a CO₂ tax exemption are examined individually 	<ul style="list-style-type: none"> Replacement of oil/gas boiler with heat pump Order not yet placed CO₂ savings to be transferred to Energie Zukunft Schweiz 	<ul style="list-style-type: none"> Application potential Innovative content Pilot: TRL 4 to 7 Demonstration: TRL 7 to 9 Publication of findings (final report)
Infos	<ul style="list-style-type: none"> Website, Flyer 	<ul style="list-style-type: none"> Website, Flyer 	<ul style="list-style-type: none"> Website, Flyer 	<ul style="list-style-type: none"> Website

^[1] <https://www.energieschweiz.ch/beratung/pinch/> (DE) and <https://www.suisseenergie.ch/conseil/pinch/> (FR)

^[2] <https://pubdb.bfe.admin.ch/de/publication/download/8357> (DE) and <https://pubdb.bfe.admin.ch/fr/publication/download/8357>

^[3] <https://www.energieschweiz.ch/prozesse-anlagentechnik/industrielle-waermepumpe/> (DE) and <https://www.suisseenergie.ch/processus-technique-dinstallations/pompes-industrie/> (FR)

^[4] <https://pubdb.bfe.admin.ch/de/publication/download/10753> (DE) and <https://pubdb.bfe.admin.ch/fr/publication/download/10753> (FR)

^[5] <https://www.klimapraemie.ch> (DE) and <https://www.primeclimat.ch> (FR)

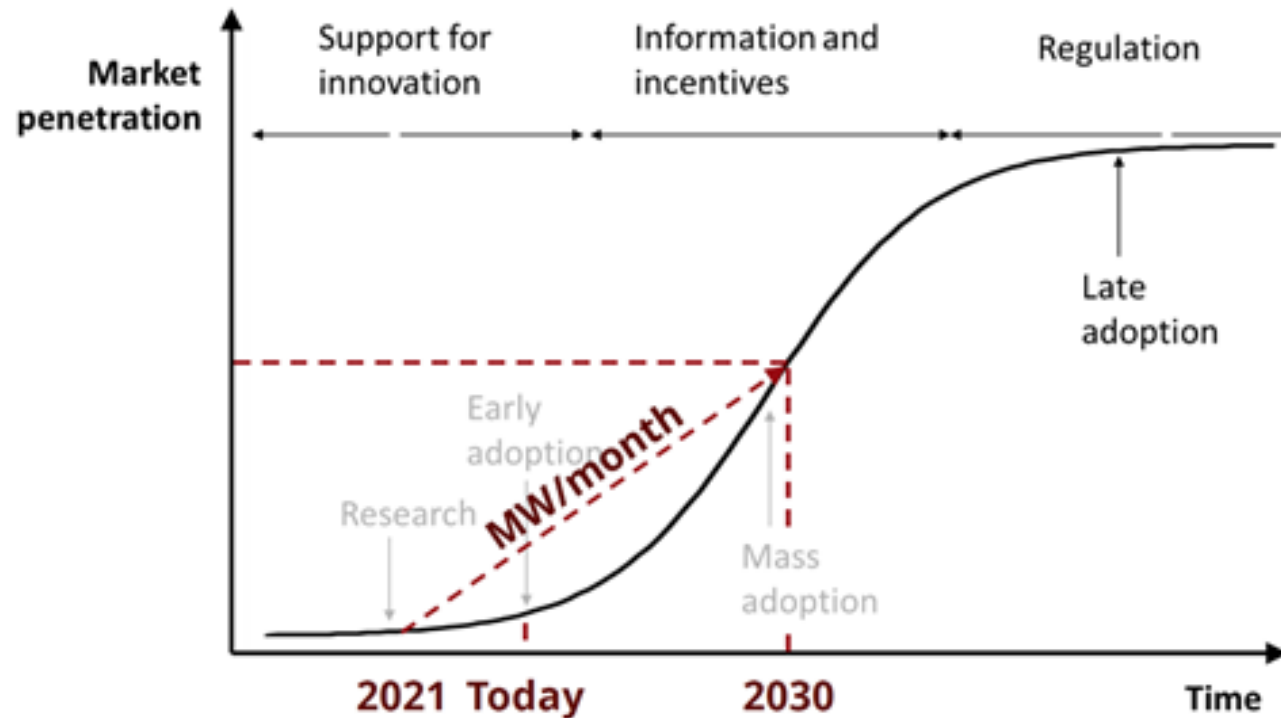
^[6] https://energiezukunftschweiz.ch/wAssets/docs/foerderprogramme/klimapraemie/klimapraemie-factsheet-grosse-anlagen_2022.pdf (DE) and <https://energiezukunftschweiz.ch/wAssets/docs/foerderprogramme/klimapraemie/klimapraemie-factsheet-grosse-anlagen-fr.pdf>

^[7] https://energiezukunftschweiz.ch/wAssets/docs/foerderprogramme/klimapraemie/klimapraemie-detaillierte-foerderkriterien_wp_de.pdf (DE) and https://energiezukunftschweiz.ch/wAssets/docs/foerderprogramme/klimapraemie/klimapraemie-detaillierte-foerderkriterien_wp_fr.pdf

^[8] <https://www.bfe.admin.ch/bfe/en/home/research-and-cleantech/pilot-and-demonstration-programme.html>

Socio-Economic Aspects

S-curve of the HTHP technology adoption



Source: Zühlsdorf (2024)

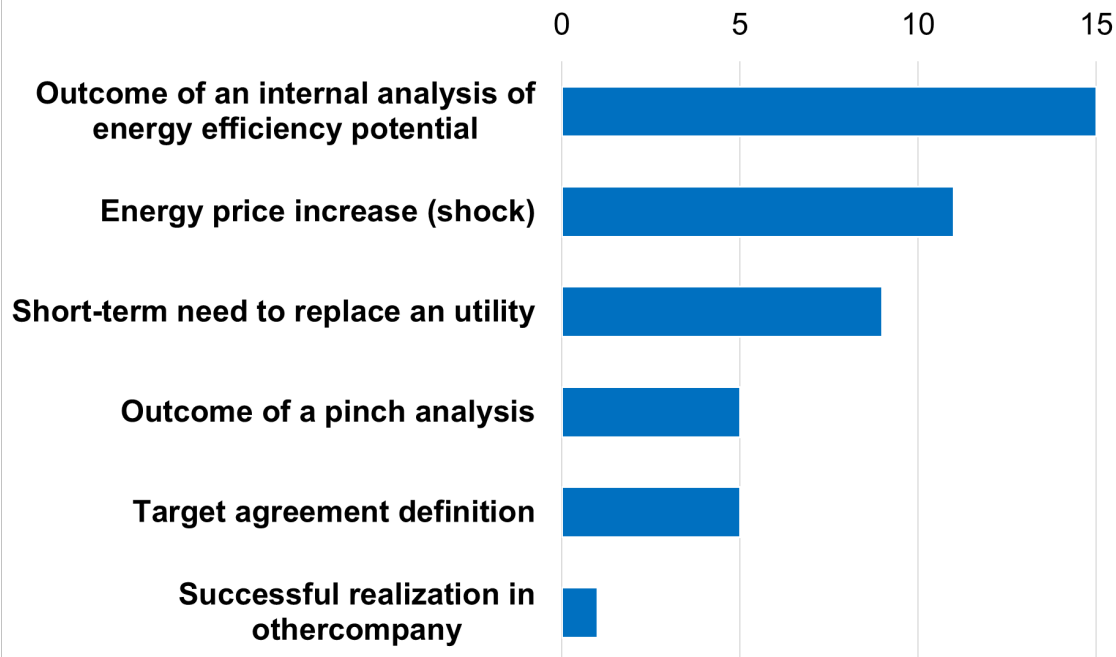
Favorable market conditions:

- Support for innovation and research
- More information
- Economic incentives
- Technology awareness
- Commitment to sustainability and decarbonization
- Involvement of various stakeholders

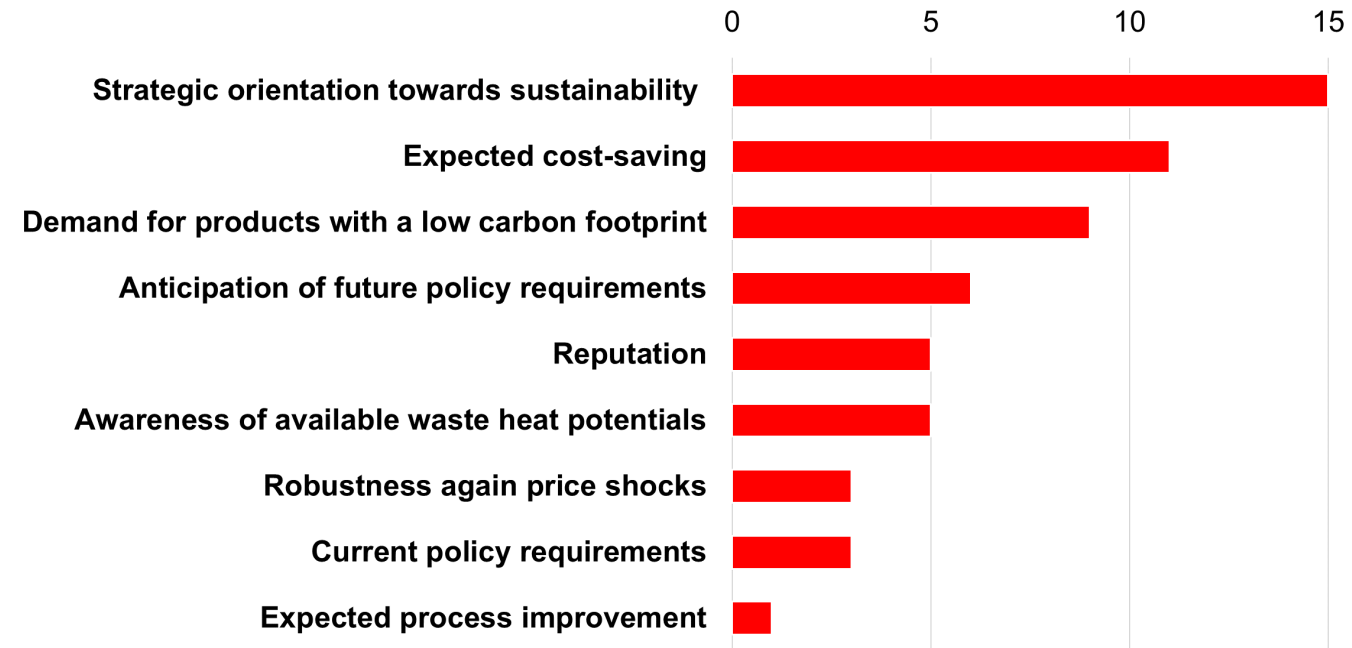
Socio-Economic Aspects

Drivers for HTHP adoption

Short term drivers to initiate a HTHP project

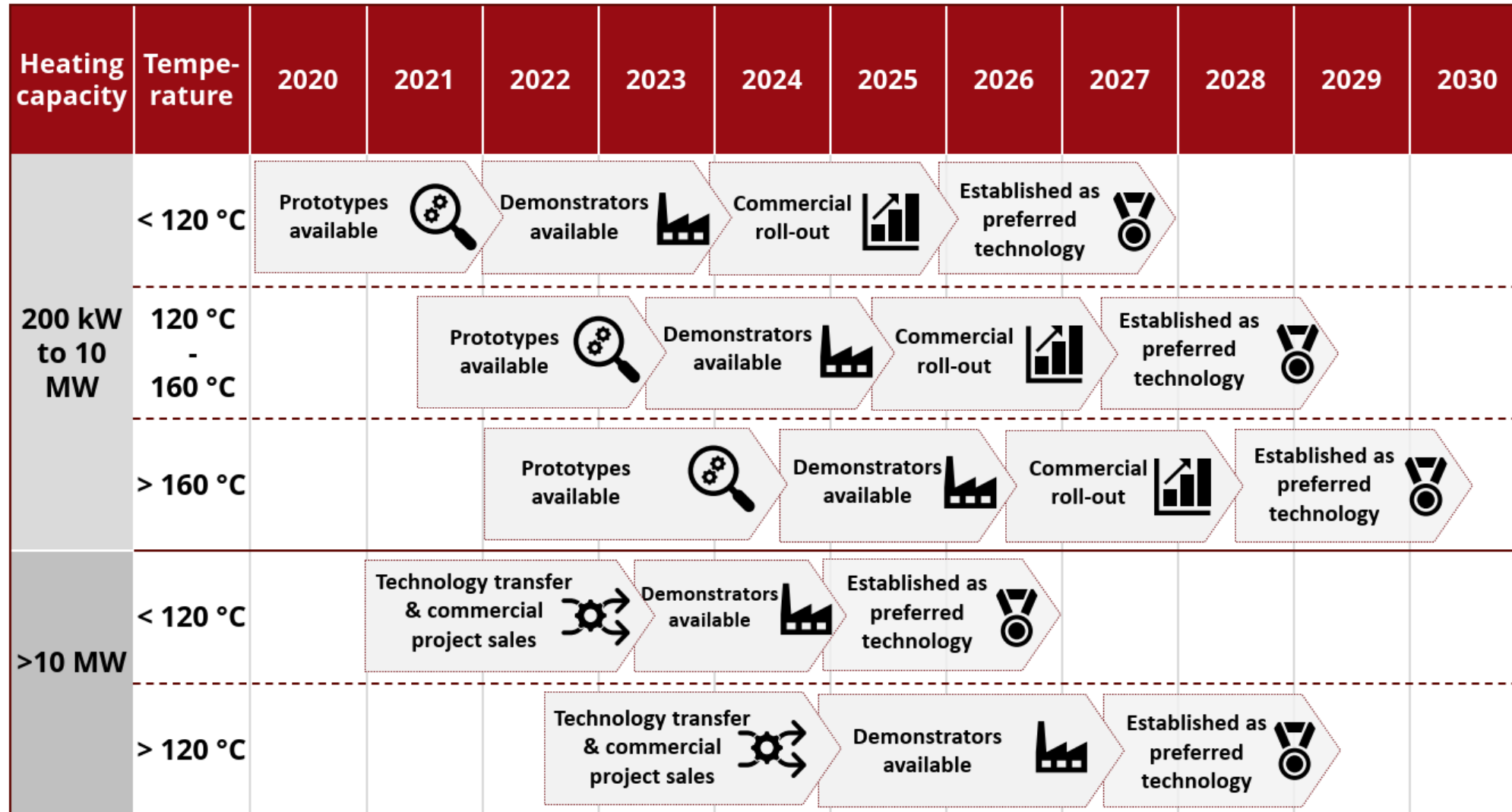


Long term drivers to initiate a HTHP project



Source: Survey among participants from industry, manufacturers, research, and government institutions at the Workshop on HTHPs held in Ittigen, Switzerland, in March 2023

Development Perspectives



Source: IEA HPT Annex 58 Task 1 Report (2023): Development perspectives for HTHPs towards 2030

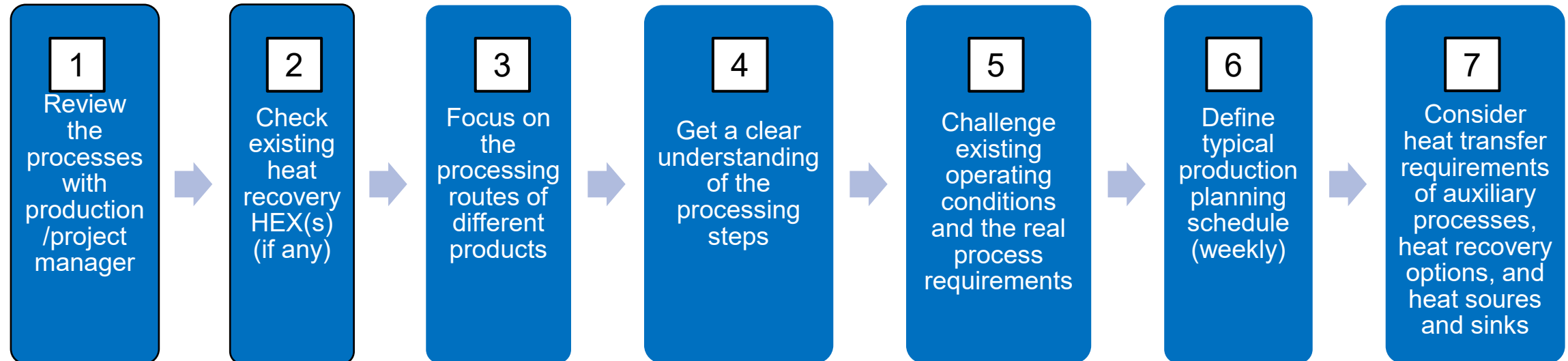
Summary

- HTHPs provide in energy savings and decarbonization
- Key target audience for the integration guidelines are engineers, managers, technicians, and planners
- There are different integration levels (process, unit, utility, sector)
- Use the feasibility checklist and preliminary assessment for integration
- Apply Pinch Analysis, which is relevant for integration
- Consider key principles and practical applications
- Consider costs, funding, and subsidies
- Have a future outlook and follow market trends

Backup Slides

Steps before Pinch Analysis

Preliminary steps before definition of heat transfer requirements



HTHP Specifications

- Footprint and weight of the HTHP
- Minimum working duration after start-up, continuous operation capability and duration without maintenance
- Managing high variations in demand and source or sink temperatures (to maintain efficiency and stability)
- Minimum part-load functioning (% of design capacity)
- Start-up and shutdown times and capacity ramping rates
- Noise emissions and vibration (with available mitigation measures to comply with maximum allowed noise emissions)
- Precision of the output temperature
- Refrigerant type
- Material requirements
- Integration in automation system
- Electrical requirements (maximum voltage, current and frequency; standstill power; electrical noise and harmonic filter)
- Type of oil in the machine (for example, any requirement for food-grade oil to be specified)
- Environmental working conditions (humidity, ambient temperature)